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In/E12076

Docket No.

261302US90PCT

IN THE UNITED STATES ATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Takayuki NAKACHI, et al.

SERIAL NO:

10/512,075

GAU:

FILED:

November 5, 2004

EXAMINER:

FOR:

ENCODING METHOD, DECODING METHOD, ENCODING APPARATUS AND DECODING APARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Masayasu Mori

Registration No. 47,301

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

SHEET 1	OF 1
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SERIAL NO. TY DOCKET NO. MMERCE Form PTO 1449 U.S. DEPARTMENT OF PATENT AND TO SEE (Modified) 10/512,075 261302US90PCT **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Takayuki NAKACHI, et al. FILING DATE **GROUP** November 5, 2004 **U.S. PATENT DOCUMENTS** SUB FILING DATE **EXAMINER** DOCUMENT CLASS DATE NAME IF APPROPRIATE INITIAL NUMBER CLASS AΑ AB AC ΑD ΑE AF AG AH ΑI AJ ΑK AL AM AN **FOREIGN PATENT DOCUMENTS TRANSLATION** DOCUMENT DATE COUNTRY NUMBER NO YES AO ΑP AQ AR AS OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) Edited by Institute of Image Information and Television Engineers, "MPEG", Ohmsha, pages 69-132 /ND/ ΑT ISO/IEC 15444-1 JPEG2000 part I: Core coding system, Annexes A-E, pages 57-114 /ND/ ΑU HSIANG, Shih-Ta et al. "Embedded video coding using invertible motion compensated 3-D subband/wavelet filter bank", /ND/Signal Processing: Image Communication, vol. 16, pages 705-724 2001 VAIDYANATHAN, P.P. "Multirate Systems and Filter Banks", Prentice Hall, pages 145-148, 816-848 /ND/ AW 1993 ONO, F. et al. "Basic Technologies on International Image Coding Standards", CORONA Publishing, pages 71-97 /ND/ AX AKUTSU. Yukie et al. "A Evaluation Measure of Resolution Conversions with Orthogonal Transform, Filter Bank or Wavelet /ND/ Transform", Technical Report of IEICE, DSP93-26, pages 17-24, (with English abstract & partial English translation) ISO/IEC 13818-2 Generic Coding of Moving Pictures and Associated Audio, ΑZ /ND/ pages i-xii Additional References sheet(s) attached 1993 **Date Considered** Examiner 03/17/2009 /Nhon Diep/ *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/512,075 Docket No.: <u>261302US90PCT</u>

STATEMENT OF RELEVANCY

1) References have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.	
2) References have been cited in the corresponding Search Report A copy of these references is being submitted herewith.	t.
3) References <u>AT-AY</u> are discussed in the specification. A copy of these references is being submitted here with.	
4) Reference <u>AZ</u> is additional prior art known to Applicant. A copy of the reference is being submitted herewith.	is
AT - Edited by Institute of Image Information and Television Engineers, "MPEG", Ohmsha, pages 69-132, 1996: Includes general descriptions on MPEG-2 coding.	
AX - ONO, F. et al. "Basic Technologies on International Image Coding Standards", CORONA Publishing, pages 71-97, 1998: Includes general descriptions on DFT, DST, DCT, subband encoding, and Wavelet encoding.	
AZ - ISO/IEC 13818-2 Generic Coding of Moving Pictures and Associated Audio, pag i-xii, 1993: Excerpts from MPEG-2 standards.	es